

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P-3697DIV2

First Inventor

Nicholas Shaylor

Title

METHOD OF RE-FORMATTING DATA
(AS AMENDED)

Express Mail Label No.

EV 329979009 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

- 1.
- ☒
- Fee Transmittal Form (e.g., PTO/SB/17) 2 pages

(Submit an original and a duplicate for fee processing)

- 2.
- ☐
- Applicant claims small entity status.

See 37 CFR 1.27.

- 3.
- ☒
- Specification [Total Pages 46]

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- 4.
- ☒
- Drawing(s) (35 U.S.C. 113) [Total Sheets 18]

5. Oath or Declaration [Total Sheets 3]

- a.
- ☐
- Newly executed (original or copy)

- b.
- ☒
- Copy from a prior application (37 CFR 1.63(d))
-
- (for continuation/divisional with Box 18 completed)

- i.
- ☐
- DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

- 6.
- ☐
- Application Data Sheet. See 37 CFR 1.76

- 7.
- ☐
- CD-ROM or CD-R in duplicate, large table or
-
- Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
-
- (if applicable, all necessary)

- a.
- ☐
- Computer Readable Form (CRF)

- b. Specification Sequence Listing on:

- i.
- ☐
- CD-ROM or CD-R (2 copies); or

- ii.
- ☐
- Paper

- c.
- ☐
- Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9.
- ☒
- Assignment to Sun Microsystems, Inc. filed in Serial No. 09/650,370 and
-
- recorded at Reel/Frame 0111142/0151 (copy not enclosed)

- 10.
- ☐
- 37 CFR 3.73(b) Statement
- ☐
- Power of
-
- (when there is an assignee) Attorney

- 11.
- ☐
- English Translation Document (if applicable)

- 12.
- ☒
- Information Disclosure 6 pages total
- ☒
- Copies of IDS
-
- Statement (IDS)/PTO-1449 Citations 26 Total

- 13.
- ☒
- Preliminary Amendment 6 Pages

- 14.
- ☒
- Return Receipt Postcard (MPEP 503)
-
- (Should be specifically itemized)

- 15.
- ☐
- Certified Copy of Priority Document(s)
-
- (if foreign priority is claimed)

- 16.
- ☒
- Nonpublication Request under 35 U.S.C. 122
-
- (b)(2)(B)(i). Applicant must attach form PTO/SB/35
-
- or its equivalent.

- 17.
- ☒
- Other: Check in amount of \$770.00; and

-Submission Fml.-Dwgs (1-page) -with drawings (15 sheets) -

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the
specification following the title, or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation☒ Divisional☐ Continuation-in-part (CIP)

of prior application No.: 09/650,370

Prior application information:

Examiner Susan F. Rayyan

Art Unit: 2177

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box
5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



Customer Number:

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OR ☐

Correspondence address below

Name

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Name (Print/Type)

Philip J. McKay

Registration No. (Attorney/Agent)

38,966

Signature

Date

03/19/2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nicholas Shaylor
 Assignee: Sun Microsystems, Inc.
 Title: METHOD OF RE-FORMATTING DATA (AS AMENDED)
 Serial No.: Unknown Filed: March 19, 2004
 Divisional of Serial No. 09/650,370 Filed August 28, 2000
 Examiner: Susan F. Rayyan Group Art Unit: 2177
 Docket No.: P-3697DIV2

Monterey, CA
 March 19, 2004

Mail Stop Patent Application
 Honorable Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

FEE TRANSMITTAL FORM

Please calculate the claim fees after entry of the enclosed Preliminary Amendment.

Fee Calculation					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee
					\$770.00
Total No. of Claims	7	- 20 =	0	X \$18.00	\$ 0.00
No. of Independent Claims	1	- 3 =	0	X \$86.00	\$ 0.00
Multiple Dependent Claims				\$290.00	- 0.00
Total of above Calculation					\$770.00
Reduction by 50% for filing by Small Entity (Enter 0.5 for small entity)					1.0
Filing Fee					\$ 770.00

FEE PAYMENT

Enclosed is:

Filing Fee

\$ 770.00

Assignment Recording Fee

00.00

Total Fees Enclosed

\$ 770.00


A check in the amount of \$ 770.00 is enclosed.

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 50-0553.

Respectfully submitted,

EXPRESS MAIL LABEL NO.

EV 329979009 US


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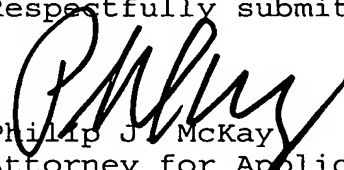
SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Applicant submits (15) sheets of formal drawings consisting of Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12A, 12B, 13, 14A, 14B, 15, 16 and 17. If there are any questions concerning the formal drawings, please call the undersigned at the number given.

Respectfully submitted,

EXPRESS MAIL LABEL NO:
EV 329979009 US


Phillip J. McKay
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